

Electronic Acknowledgement Receipt

EFS ID:	5981501
Application Number:	10799205
International Application Number:	
Confirmation Number:	7411
Title of Invention:	Block trading system and method providing price improvement to aggressive orders
First Named Inventor/Applicant Name:	Henri Waelbroeck
Customer Number:	09629
Filer:	Mark Montague/Deborah O'Hara
Filer Authorized By:	Mark Montague
Attorney Docket Number:	061165-5001US
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Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	yes
Payment Type	Electronic Funds Transfer
Payment was successfully received in RAM	\$490
RAM confirmation Number	8162
Deposit Account	
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Amendment/Req. Reconsideration-After Non-Final Reject	2865001- RESPTOAMarch312009-WEXT. PDF	415274	no	10
			b3c7b041e6b141de8822cb35d6f39c1ba9f bf5d6e		

Warnings:

Information:

2	Fee Worksheet (PTO-875)	fee-info.pdf	30239	no	2
			f70d889c4f48c27c6586c889590ed6c1e16c3 00b		

Warnings:

Information:

Total Files Size (in bytes): 445513

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.